



MEMO

To: Board of Directors
From: Company Secretary
Subject: **ASIC REGISTERS**

ASIC provides a number of registers containing a variety of information that can be useful to a Company Secretary.

These are available on-line at www.asic.gov.au

The registers are arranged under a number of headings:

Companies

National Names Index	Find basic details about current & former companies, business names, schemes, trusts & non-registered entities; ABN, ACN....etc	Limited information free; further details available for a charge
Identical Names Check	Check the availability of a proposed company name	Free
Company Alert	Receive email notification when a company you are monitoring lodges a document with ASIC	Free
Banned & Disqualified Persons Register	Names of persons who have been banned or disqualified under provisions of the Corporations Act	Details of name & address available free; further information available for a charge
Offerlist Search	All fundraising offer documents, such as prospectuses, offer information statements, profile statements and product disclosure statements lodged with ASIC	Free
Gazettes	ASIC instruments, changes of company type, de-registrations and reinstatements, unclaimed money and private company notices	Free
Enforceable Undertakings Register	Undertakings that have been accepted by ASIC.	Free
Summary Prosecutions of Companies & Directors	People and companies prosecuted for various breaches of the Corporations Act	Free



Financial Service Providers

AFS Licensees Register	All people or organisations who hold an Australian financial services licence.	Some information free; further details available for a charge
AFS Authorised Representatives Register	All people or organisations that are authorised as representatives by an AFS licensee or by a corporate representative of a licensee	Some information free; further details available for a charge
Product Disclosure Statements	Search the National Names Index to see if an in-use notice for a PDS has been lodged	Free
ASIC Training Register	Training courses for financial product advisers and individual assessment services approved by ASIC under RG 146	Free

Other

Auditors & Liquidators Register	Registered auditors and authorised audit companies, registered liquidators & official liquidators.	Free
Unclaimed money	Search for money that has been classified as unclaimed from financial institutions and companies	Free

DISCLAIMER

The comments in this memo reflect some commercial aspects and observations on the matter experienced or observed by the writer in practice as he understands them. The information is given as a guide only and does not represent a definitive or legal view of any of the issues raised, covered or referred to and the reader is urged to seek his own professional advice on all aspects of, or pertaining to, this and any related matter.